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## Functional health literacy and the quality of physician–patient communication among diabetes patients

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### Abstract

While patients with poor functional health literacy (FHL) have difficulties reading and comprehending written medical instructions, it is not known whether these patients also experience problems with other modes of communication, such as face-to-face encounters with primary care physicians. We enrolled 408 English- and Spanish-speaking diabetes patients to examine whether patients with inadequate FHL report worse communication than patients with adequate FHL. We assessed patients' experiences of communication using sub-scales from the Interpersonal Processes of Care in Diverse Populations instrument. In multivariate models, patients with inadequate FHL, compared to patients with adequate FHL, were more likely to report worse communication in the domains of general clarity (adjusted odds ratio [AOR] 6.29,  $P < 0.01$ ), explanation of condition (AOR 4.85,  $P = 0.03$ ), and explanation of processes of care (AOR 2.70,  $P = 0.03$ ). Poor FHL appears to be a marker for oral communication problems, particularly in the technical, explanatory domains of clinician–patient dialogue. Research is needed to identify strategies to improve communication for this group of patients.

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**Keywords:** Functional health literacy; Physician–patient communication; Adjusted odds ratio

### 1. Introduction

There is a growing recognition that interpersonal processes of care, in addition to technical processes of care, contribute to the overall quality of health care [1–5]. Interpersonal processes encompass the social–psychological aspects of the clinical interaction, including patient–provider communication. The quality of interpersonal care processes is associated with patients' self-care behavior and health outcomes for a number of conditions, including diabetes [6–12]. Some hypothesize that poor interpersonal care processes contribute to disparities in health between disadvantaged and non-disadvantaged populations [5].

Poor functional health literacy (FHL) is common among patients who have low educational attainment, and among older patients and racial and ethnic minorities [13]. As many as one in three Medicare patients has poor FHL; in public sector settings, poor FHL is the rule rather than the ex-

ception [14,15]. FHL is a measure of a patient's ability to perform basic reading and numerical tasks required to function in the health care environment [13] and is distinct from education level and language ability. Poor FHL is independently associated with poor self-rated health [16], poor understanding of one's condition and its management [17–19], and higher utilization of services [20,21]. Recently, FHL has been shown to be independently associated with glycemic control and diabetes complications among a cohort of public hospital patients [22]. Although the mechanisms whereby poor FHL impacts health outcomes are not clear, it is likely that ineffective information flow in the health care context plays a role [23].

One natural strategy for circumventing the barriers to written communication associated with poor FHL would be to augment or substitute oral for written clinical communication. However, patients with poor FHL may not only have limitations in reading and numeracy, but also may have difficulties processing oral communication [5,24–26]. In the health care context, analysis of focus groups and individual interviews with patients with low literacy revealed pervasive communication problems with health care providers,

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64 including problems during face-to-face encounters [27]. For  
65 example, patients frequently told of being informed about  
66 their medical problems and treatments in ways they could  
67 not understand.

68 We undertook a study of ethnically diverse primary care  
69 patients with type 2 diabetes to examine the relationship  
70 between FHL and the quality of clinician–patient commu-  
71 nication. We selected diabetes because the nature of the dis-  
72 ease and its treatment requires intensive, ongoing patient–  
73 provider communication around such disparate domains  
74 as the elicitation of symptoms, explanations of the con-  
75 dition, self-care, diagnostic testing, and decision-making.  
76 Moreover, the quality of patient–physician communication  
77 has been shown to be associated with self-care behav-  
78 iors and clinical outcomes among patients with diabetes  
79 [6,10].

## 80 2. Methods

### 81 2.1. Setting and study participants

82 We performed this study within the context of a larger  
83 study examining the relationship between FHL and diabetes  
84 outcomes [22]. The protocol was approved by the Human  
85 Subjects Committee of University of California San Fran-  
86 cisco (UCSF).

87 Patients were enrolled in two primary care clinics (a fam-  
88 ily practice and a general internal medicine clinic) at San  
89 Francisco General Hospital (SFGH), the public hospital for  
90 the City and County of San Francisco. The clinics serve pa-  
91 tient populations that are ethnically diverse and of low so-  
92 cioeconomic status. Patients in these clinics receive ongo-  
93 ing care by University of California San Francisco attend-  
94 ing faculty and residents. Over 90% of type 2 diabetes pa-  
95 tients at SFGH are cared for by primary care physicians.  
96 For non-English speaking patients, professional interpreter  
97 services are generally available.

98 Between June 2000 and December 2000, bilingual re-  
99 search assistants attempted to enroll all eligible patients who  
100 attended a clinic appointment. Written and/or oral consent to  
101 participate was obtained from patients prior to their enroll-  
102 ment. Patients were offered US\$ 5.00 for their participation.

103 Potential participants were identified by querying the  
104 hospital system's computerized clinical and administra-  
105 tive database. Patients were eligible if they were over age  
106 30 years, had type 2 diabetes (ICD-9 codes of 250.0 or  
107 250.2), and spoke English or Spanish fluently. Participants  
108 had to have a primary care physician in one of the clinics for  
109 at least 6 months and to have made at least one visit to this  
110 physician within the prior 6 months. We excluded patients  
111 with any documented billing diagnosis of end-stage renal  
112 disease, psychotic disorder, dementia, or blindness (condi-  
113 tions which may interfere with accurate FHL measurement).  
114 To ensure that our list of patients reflected eligibility crite-  
115 ria as accurately as possible, we also provided primary care

116 physicians ( $n = 89$ ) with a list of their eligible patients gener-  
117 ated from the database and asked them to indicate patients  
118 meeting criteria for exclusion. Because FHL assessment  
119 can be biased by uncorrected visual impairments, patients  
120 who agreed to participate first had their visual acuity tested  
121 using a pocket vision screener (Rosenbaum, Granham-Field  
122 Surgical Co. Inc.). Patients with corrected vision 20/50 or  
123 worse were excluded.

### 124 2.2. Measures

125 Trained bilingual research assistants interviewed pa-  
126 tients in clinic prior to their appointment. To measure  
127 functional health literacy, we used an abbreviated version  
128 of the short-form Test of Functional Health Literacy in  
129 Adults (s-TOFHLA, 14-point font), English and Spanish  
130 versions. This s-TOFHLA has been shown to be a reliable  
131 and valid measure of health-related literacy [22,28–30].  
132 The abbreviated s-TOFHLA is a 36-item timed reading  
133 comprehension test that uses the modified Cloze proce-  
134 dure [31]; every fifth to seventh word in a passage is  
135 omitted, and four multiple-choice options are provided. It  
136 contains two health care passages, the first selected from  
137 instructions for preparation for an upper gastrointestinal  
138 tract radiograph series (Gunning-Fog Index [32] readabil-  
139 ity grade 4.3) and the second from the patient's "Rights  
140 and Responsibilities" section of a Medicaid application  
141 (Gunning-Fog Index readability grade 10.4). The abbrevi-  
142 ated s-TOFHLA is scored on a scale of 0–36. Using estab-  
143 lished convention, we categorized patients as having *inad-*  
144 *equately* FHL if the s-TOFHLA score was 0–16, *marginal*  
145 FHL if it was 17–22, and *adequately* FHL if it was 23–36. Pa-  
146 tients with inadequate FHL often misread simple materials,  
147 such as prescription bottles, appointment slips, or nutrition  
148 labels; patients with marginal FHL frequently have trouble  
149 with more complex materials, such as an educational  
150 brochure or a patient rights and responsibilities document  
151 [15].

152 We measured the quality of physician–patient communi-  
153 cation using the communication sub-scales of the Interper-  
154 sonal Processes of Care in Diverse Populations Question-  
155 naire (IPC) [4]. The IPC was developed to validate a hy-  
156 pothesized conceptual framework of the domains of inter-  
157 personal processes of care relevant to ethnically diverse pa-  
158 tients of low socioeconomic status [4] and has been vali-  
159 dated [33]. The IPC, in its entirety, is a 40-item question-  
160 naire that asks patients to report their experience with their  
161 provider ("your doctor") in the prior 6 months across two di-  
162 mensions: communication and interpersonal style. Because  
163 we were interested in the relationship between FHL and  
164 patient–physician communication, we focused in the current  
165 study on the 20 communication items, which are grouped  
166 into the seven sub-scales of (1) general clarity, (2) elicitation  
167 of and responsiveness to patient problems, concerns and ex-  
168 pectations, (3) explanations of condition, progress, and prog-  
169 nosis, (4) explanations of processes of care, (5) explanations

Table 1  
Selected interpersonal processes of care (IPC) scales and associated items

No.	Scale	Item <sup>a</sup> (over the past 6 months)
I	General clarity	How often did your regular doctor use medical words that you did not understand? How often did you have trouble understanding your doctor because he/she spoke too fast?
II	Elicitation of and responsiveness to patient problems, concerns and expectations	How often did your doctor give you enough time to say what you thought was important? How often did your doctor listen carefully to what you had to say?
III	Explanations of condition	How often did your doctor give you enough information about your health problems? How often did your doctor make sure you understood your health problems?
IV	Explanations of processes of care	How often did your doctor explain why a test was being done? How often did your doctor explain how the test is done? How often did you feel confused about what was going on with your medical care because your doctor did not explain things well?
V	Explanations of self-care	How often did your doctor tell you what you could do to take care of yourself at home? How often did your doctor tell you how to pay attention to your symptoms and when to call him/her? How often did your doctor explain clearly to you how to take the medicine (i.e. when, how much, and for how long)? How often did your doctor go over all of the medicines you were taking? How often did your doctor give you written instructions about how to take the medicine (other than what was on the container)? How often did your doctor tell you the reason for taking the medicine? How often did your doctor tell you about side-effects you might get from your medicine?
VI	Empowerment	How often did your doctor make you feel that following your treatment (care) plan would make a difference in your health? How often did your doctor make you feel that your everyday activities such as your diet and lifestyle would make a difference in your health?
VII	Decision-making around desire and ability to comply	How often did your doctor ask if you might have any problems actually doing the recommended treatment? How often did your doctor understand the kinds of problems you might have in doing the recommended treatment?

<sup>a</sup> Response categories (1–5 Likert scale): always, often, sometimes, rarely, never.

170 of self-care, (6) empowerment, and (7) decision-making.  
171 The internal consistency reliabilities of the seven sub-scales  
172 have all been shown to be high [4].

173 Patients respond to IPC items by reporting the frequency  
174 of specific behaviors using a five-point Likert scale ranging  
175 from “always” to “never.” For example, in the general clarity  
176 scale, patients are asked “over the last 6 months, how often  
177 did your doctor use words that you did not understand?”  
178 For Spanish-speakers, we used the Spanish IPC instrument,  
179 a version that had been previously translated into Spanish  
180 and back-translated into English [4]. We have included the  
181 specific IPC items in Table 1. The Flesch-Kincaid readability  
182 [34] of the IPC instrument is grade 7.5. Research assistants  
183 read all IPC items to study patients.

184 The in-person patient questionnaire also included items  
185 regarding subjects’ demographic characteristics (age,  
186 race/ethnicity, language status, education, insurance status),  
187 current diabetes medication use (use of diet, oral hypo-  
188 glycemic agents, insulin), depressive symptoms, diabetes  
189 duration, length of time in the care of their primary care  
190 physician, and (for Spanish-speakers) whether the physician  
191 spoke Spanish. We measured depressive symptoms using  
192 the validated Center for Epidemiologic Studies Depression

Scale-10 (CESD-10), which has been used in previous 193  
diabetes research [35,36]. 194

195 Finally, we measured the extent of patients’ diabetes control  
196 by querying the hospital system’s computerized clinical  
197 database for each patient’s most recent hemoglobin A1c  
198 (HbA1c) value.

### 2.3. Statistical analysis 199

200 For each patient, we generated IPC sub-scale scores by  
201 adding up individual item scores within a scale and  
202 dividing the total score by the number of items. As is the  
203 case in most studies in which patients rate their communication  
204 with their physician, patients’ responses were  
205 skewed toward positive experiences. As a result, we generated  
206 a dichotomous outcome variable of poor IPC versus  
207 good IPC based on the mean scores for each sub-scale.  
208 After rounding mean sub-scale scores to the nearest integer,  
209 mean sub-scale scores of 4–5 on the Likert scale  
210 (corresponding to *never/rarely* for positive attributes, or  
211 *always/often/sometimes* for negative attributes) were categorized  
212 as poor IPC, and mean sub-scale scores of 1–3 on the  
213 Likert scale (corresponding to *always/often/sometimes* for

214 positive attributes, and *never/rarely* for negative attributes)  
215 were categorized as good IPC.

216 We then analyzed the extent to which FHL was associ-  
217 ated with the quality of communication within each of the  
218 domains measured by the IPC sub-scales. In bivariate anal-  
219 ysis, for each IPC sub-scale, we compared the percentage  
220 of patients with inadequate FHL and marginal FHL who re-  
221 ported poor IPC to the percentage of patients with adequate  
222 FHL, and generated odds ratios comparing poor IPC among  
223 patients with inadequate to adequate FHL, and marginal to  
224 adequate FHL. To isolate the independent effect of FHL on  
225 IPC sub-scales, we used logistic regression analysis to mea-  
226 sure the association between FHL and sub-IPC scales, con-  
227 trolling for other potentially confounding patient character-  
228 istics. We included covariates that we hypothesized to influ-  
229 ence IPC as well as others that had borderline statistically  
230 significant associations ( $P < 0.15$ ) in bivariate analyses with  
231 at least two of the seven IPC sub-scales. Specifically, we  
232 performed logistic regression, controlling for patients' age,  
233 race/ethnicity, sex, education, language, insurance, treatment  
234 regimen, HbA1c, depression (CESD-10) score, diabetes du-  
235 ration, patient reports of physician's Spanish fluency, and  
236 length of time in the physician's care. Standard errors for  
237 all model coefficients were adjusted for the clustering of pa-  
238 tients within physician, using Generalized Estimating Equa-  
239 tions [37]. Because of the potential interaction between a  
240 patient's language (English or Spanish) and FHL level on the  
241 quality of interpersonal communication, we formally tested  
242 for this interaction.

243 To address the concern that the internal consistency re-  
244 liability of the IPC instrument may vary with FHL, we  
245 first measured internal consistency reliability for each IPC  
246 sub-scale for the entire sample and repeated this analysis  
247 across FHL categories. Cronbach alpha scores were all in the  
248 acceptable range (a low of 0.53 to a high of 0.84) and found  
249 no meaningful FHL-related differences in internal consis-  
250 tency reliability across FHL categories.

### 251 3. Results

252 Eight hundred and fifty-eight diabetes patients were  
253 identified by the San Francisco General Hospital clinical  
254 database as potentially eligible for the study. Of these, 142  
255 were ineligible because their primary care physicians in-  
256 formed us that the patients were not in their panel ( $n = 10$ ),  
257 did not have type 2 diabetes ( $n = 25$ ), did not speak En-  
258 glish or Spanish fluently ( $n = 28$ ), had moved out of the  
259 area ( $n = 35$ ), had a psychiatric condition, e.g. dementia,  
260 psychosis, or mental retardation ( $n = 23$ ), or had died  
261 ( $n = 1$ ). An additional 20 patients were identified as in-  
262 eligible by physicians who provided no reason. Of the 716  
263 remaining patients, 261 did not make a primary care visit  
264 during the enrollment period. All remaining 455 patients  
265 were approached during a clinic appointment. Of these, 36  
266 patients refused to participate, 9 were excluded because

267 they were too ill to participate, 2 were acutely intoxicated,  
268 and 6 had poor visual acuity ( $\geq 20/50$ ). Four hundred and  
269 thirteen patients consented to the study and were enrolled.  
270 Four hundred and eight of the 413 patients completed the  
271 entire questionnaire and had a HbA1c on record; these pa-  
272 tients composed our study sample. The 408 patients were  
273 cared for by 88 physicians. Patients who refused to partici-  
274 pate and patients who were not interviewed by virtue of not  
275 attending a clinic appointment during the enrollment period  
276 were more likely than study subjects to be younger and  
277 male but were not different in terms of race/ethnicity and  
278 language.

279 Study subjects were ethnically diverse, had low edu-  
280 cational attainment, and were predominantly uninsured  
281 or publicly insured (Table 2). Most patients were treated  
282 with oral hypoglycemic agents, either alone or in combina-  
283 tion with insulin. The mean abbreviated s-TOFHLA score  
284 was 21 (range 0–36). Thirty-eight percent of patients had  
285 inadequate FHL (s-TOFHLA score 0–16), and 13% had  
286 marginal FHL (s-TOFHLA score 17–22). Patients with in-  
287 adequate FHL were more likely than patients with adequate  
288 FHL (s-TOFHLA 23–36) to be older, female, non-white,  
289 Spanish-speaking, have Medicare coverage, and to have  
290 received only some high school education or less. Among  
291 Spanish-speakers ( $n = 148$ ), 140 (95%) reported that they  
292 spoke no or only little English, and 37 (25%) reported that  
293 their physician did not speak Spanish.

294 Overall, patients provided favorable reports of their experi-  
295 ence with their physician, with reports of poor IPC rang-  
296 ing from 6 to 36% across IPC sub-scales (Table 3). With  
297 the exception of one IPC sub-scale, patients with inadequate  
298 and marginal FHL reported the quality of interpersonal pro-  
299 cesses of care to be lower than that reported by patients  
300 with adequate FHL. The quality of interpersonal processes  
301 of care for patients with marginal FHL tended to be of in-  
302 termediate quality between that of inadequate and adequate  
303 FHL or similar to that of patients with inadequate FHL.  
304 For example, in the explanation of processes of care scale,  
305 21% of patients with inadequate FHL reported poor IPC, as  
306 compared to 19% of patients with marginal FHL, and 10%  
307 of patients with adequate FHL. In the empowerment scale,  
308 21% of patients with inadequate FHL reported poor IPC, as  
309 compared to 22% of patients with marginal FHL, and 12%  
310 of patients with adequate FHL.

311 In bivariate analyses, inadequate FHL was associated with  
312 poorer quality of interpersonal processes across five of the  
313 seven IPC sub-scales (Table 4), including general clarity  
314 (OR 4.54,  $P < 0.01$ ), explanation of condition (OR 3.02,  
315  $P = 0.04$ ), explanation of processes of care (OR 2.25,  
316  $P < 0.001$ ), empowerment (OR 2.05,  $P = 0.02$ ), and  
317 decision-making (OR 2.30,  $P < 0.001$ ). In contrast, com-  
318 pared to patients with adequate FHL, patients with inade-  
319 quate or marginal FHL did not report worse interpersonal  
320 processes of care on either the elicitation of patient prob-  
321 lems sub-scale (OR 1.55,  $P = 0.26$ ) or the explanation of  
322 self-care sub-scale (OR 0.85,  $P = 0.54$ ).



Table 2  
Characteristics of patients, overall, and stratified by functional health literacy level

Characteristic	No. (%)				P-value <sup>b</sup>
	Total (n = 408)	Functional health literacy level <sup>a</sup>			
		Inadequate (n = 156)	Marginal (n = 54)	Adequate (n = 198)	
Mean age (years)	58.1	62.7	59.8	54.0	<0.001
Sex					0.01
Female	235 (58)	104 (67)	30 (56)	101 (51)	
Male	173 (42)	52 (33)	24 (44)	97 (49)	
Race/ethnicity					<0.001
Asian	75 (18)	30 (19)	10 (19)	35 (18)	
Black	100 (25)	27 (17)	13 (24)	60 (30)	
Latino	173 (42)	90 (58)	24 (44)	59 (30)	
White	60 (15)	9 (6)	7 (13)	44 (22)	
Education					<0.001
Some high school or less	185 (46)	118 (75)	23 (43)	44 (22)	
High school graduate or GED	95 (23)	26 (17)	17 (31)	52 (26)	
College graduate/some college	115 (28)	11 (7)	11 (20)	93 (47)	
Graduate degree	13 (3)	1 (1)	3 (6)	9 (5)	
Insurance status					0.004
Uninsured	130 (32)	37 (24)	18 (33)	75 (38)	
Medicare	149 (36)	75 (48)	21 (39)	53 (27)	
Medicaid	93 (23)	33 (21)	12 (22)	48 (24)	
Commercial	36 (9)	11 (7)	3 (6)	22 (11)	
Language					<0.001
Spanish	148 (36)	84 (54)	21 (39)	43 (22)	
English	260 (64)	72 (46)	33 (61)	155 (78)	
Years with diabetes (mean)	9.5	11.4	10.4	7.7	<0.001
HbA1c	8.8	8.5	9.0	8.9	0.07
Treatment regimen					0.10
Diet alone	23 (6)	10 (8)	1 (2)	12 (5)	
Oral hypoglycemic alone	223 (54)	76 (49)	33 (61)	114 (57)	
Insulin alone	49 (12)	16 (10)	4 (7)	29 (15)	
Insulin + oral hypoglycemic	113 (28)	52 (33)	16 (30)	45 (23)	
Mean depression score (0–100 scale)	38.5	37.1	39	39.5	0.58
Length of time in physician's care					0.30
<1 year	106 (26)	36 (23)	18 (33)	52 (27)	
1–3 years	193 (48)	82 (53)	19 (35)	92 (46)	
>3 years	107 (26)	38 (24)	17 (32)	52 (27)	

<sup>a</sup> s-TOFHLA scores 0–16 = inadequate HL; 17–22 = marginal HL; 23–36 = adequate HL.

<sup>b</sup> We used Chi-square test for categorical variables and analysis of variance (ANOVA) and Kruskal–Wallis test for means and medians of continuous variables, respectively.

Table 3  
Percent of patients reporting poor interpersonal processes of care (IPC), for total sample and stratified by functional health literacy (FHL)

Sub-scale	No. of items	% with poor IPC			
		Total sample (n = 408)	Inadequate FHL (n = 156)	Marginal FHL (n = 54)	Adequate FHL (n = 198)
General clarity	2	8	14	9	4
Elicitation of patient problems	2	7	8	11	5
Explanation of condition	2	6	9	7	3
Explanation of process of care	3	15	21	19	10
Explanation of self-care	7	18	16	20	18
Empowerment	2	17	21	22	12
Decision-making	2	36	45	46	26

Table 4

Odds of poor interpersonal processes of care (IPC), for patients with inadequate and marginal vs. adequate functional health literacy (FHL)

Sub-scale	Unadjusted				Adjusted <sup>a</sup>			
	Inadequate FHL		Marginal FHL		Inadequate FHL		Marginal FHL	
	OR (95% CI)	P-value	OR (95% CI)	P-value	OR (95% CI)	P-value	OR (95% CI)	P-value
General clarity	4.54 (1.76–11.73)	<0.01	2.73 (0.81–9.19)	0.11	6.29 (1.71–23.07)	<0.01	3.68 (0.95–14.23)	0.06
Elicitation of patient problems	1.55 (0.72–3.35)	0.26	2.36 (0.98–5.73)	0.06	1.86 (0.54–6.36)	0.33	2.39 (0.77–7.39)	0.13
Explanation of condition	3.02 (1.04–8.76)	0.04	2.48 (0.65–9.49)	0.18	4.85 (1.2–19.58)	0.03	3.48 (0.56–21.46)	0.18
Explanation of process of care	2.25 (1.41–3.59)	<0.001	2.01 (0.95–4.23)	0.07	2.7 (1.1–6.66)	0.03	2.39 (0.91–6.29)	0.08
Explanation of self-care	0.85 (0.5–1.43)	0.54	1.18 (0.58–2.4)	0.65	0.86 (0.39–1.89)	0.70	1.17 (0.5–2.7)	0.72
Empowerment	2.05 (1.14–3.67)	0.02	2.16 (0.97–4.83)	0.06	1.08 (0.38–3.06)	0.88	1.4 (0.53–3.71)	0.50
Decision-making	2.30 (1.5–3.51)	<0.001	2.29 (1.21–4.35)	0.01	1.66 (0.77–3.59)	0.20	2.19 (0.99–4.84)	0.05

<sup>a</sup> Adjusted for age, sex, race, education, insurance, patient language, HbA1c, treatment regimen, depression score, years with diabetes, length of time in physician's care, patient report of physicians' Spanish ability, and accounting.

323 After adjusting for age, race/ethnicity, sex, education,  
 324 patient's language, insurance, treatment regimen, HbA1c,  
 325 depression score, diabetes duration, physician's Spanish flu-  
 326 ency, and length of time in the care of the physician, inad-  
 327 equate FHL remained independently associated with lower  
 328 quality of interpersonal processes of care across three of the  
 329 seven IPC sub-scales (general clarity, explanation of con-  
 330 dition, and explanations of processes of care, see Table 4).  
 331 For the empowerment and decision-making scales, patients'  
 332 education level and language were the covariates primarily  
 333 responsible for reducing the effect of FHL on IPC in multi-  
 334 variate models.

335 To examine whether our findings were influenced by un-  
 336 measured language discordance between Spanish-speaking  
 337 patients and their physicians, we performed stratified anal-  
 338 yses comparing the association of FHL and IPC scales sep-  
 339 arately among Spanish- and English-speaking patients. We  
 340 found no statistically significant interaction between FHL  
 341 and language on IPC for any of the seven sub-scales.

#### 342 4. Discussion

343 To our knowledge, this is the first study to demonstrate  
 344 an association between FHL and the quality of interper-  
 345 sonal processes of care, i.e. office based, primarily oral  
 346 patient–physician communication. While patients' reports of  
 347 the quality of communication were, in general, quite high,  
 348 we observed robust bivariate and multivariate relationships  
 349 between inadequate FHL and reports of worse communi-  
 350 cation across selected domains involving both the explana-  
 351 tory and participatory components of a physician's interper-  
 352 sonal communication (e.g. physician not explaining clearly,  
 353 as well as not eliciting patient's understanding of explana-  
 354 tion). Patients with inadequate FHL reported worse commu-  
 355 nication across domains critical to successful chronic disease  
 356 care and self-management, including a physician's explana-  
 357 tions of their condition; explanations of processes of care;  
 358 empowerment; and consideration of patient's desire and/or

ability to adhere to treatment plans. We observed important  
 359 exceptions to this pattern for certain scales such as elicitation  
 360 of patient concerns, with patients with inadequate FHL  
 361 reporting quality of communication similar to that of patients  
 362 with adequate FHL. This suggests that poor FHL affects the  
 363 explanatory/participatory dimensions of patient–physician  
 364 communication but not the “listening” dimensions.  
 365

In the explanatory domains of physician communication,  
 366 it appears that patients with poor FHL are more likely to  
 367 be confused or underinformed about their condition and the  
 368 processes of care required to successfully manage it. While  
 369 part of this may be because physicians are simply not inform-  
 370 ing patients, we believe that much of this problem is a result  
 371 of physicians attempting to explain, but being either parti-  
 372 tially effective or ineffective. It is possible that physicians,  
 373 unaware of the informational and communication needs of  
 374 their patients [38], communicated consistently across FHL  
 375 levels. This untailed communication may be less effective  
 376 for patients with inadequate FHL. Patients with low literacy  
 377 levels are more likely to have a restricted vocabulary, mak-  
 378 ing physician's use of medical or technical terms, for ex-  
 379 ample, particularly problematic. It has been suggested that  
 380 the relative paucity of vocabulary and restricted knowledge  
 381 base among individuals with low literacy leads to difficulties  
 382 integrating oral communication, particularly when this com-  
 383 munication involves areas in which these individuals lack  
 384 familiarity or expertise [39]. Sticht et al. suggests that lis-  
 385 tening and reading are structurally similar with regard to the  
 386 knowledge base that they draw upon [40]. As such, com-  
 387 munication is influenced by an interplay among cognitive,  
 388 linguistic, and reading domains [41] and the success of com-  
 389 munication may, in part, have to do with the extent to which  
 390 there is discordance between involved parties across these  
 391 domains. That we found FHL-related differences in commu-  
 392 nication within the domains of general clarity, explanations  
 393 of condition, and explanations of process of care suggests  
 394 that patients with poor FHL are more likely to have trou-  
 395 ble with clinical language, both due to its technicality and  
 396 to the speed with which it is transmitted. Of note, we found  
 397

no FHL-related differences in the quality of communication in the explanation of self-care domain, which tends to focus on explaining health behaviors related to caring for oneself, and often involves less technical language.

Some of the FHL-associated variation in the quality of communication that we observed could also reflect the power inequities intrinsic to the physician–patient relationship. Much has been written about the relational dynamics that exist between physicians and patients of lower socioeconomic status and racial and ethnic minorities and, in specific the importance of class-based sociolinguistic barriers to communication [5,42–44]. While individuals of different social class, race, and educational backgrounds usually do not differ in their desire for information, there likely are differences with regard to the predisposition to seek and to offer information through oral channels [45,46]. Minority patients and patients with less than high school education rate visits with physicians as less participatory [47,48]. Focus groups among patients with low literacy have demonstrated that shame influences patients' health care experiences and influences behavior in the clinical encounter [27]. These patients voiced concerns around being inadequately informed about their conditions and treatments, yet admitted to only infrequently asking questions of their providers. Given the reciprocal nature of medical interactions, one can infer that patients with inadequate FHL may be more likely to employ a passive communication style, less likely to challenge the physician with a question or request for clarification and, perhaps as a result of reinforcing physician attitudes and perceptions [44], less likely to experience interactive visits.

Our study has a number of limitations. First, our main outcomes were patients' reports of their physician's interpersonal processes of care and not direct observations. While the IPC instrument, by virtue of asking patients to report on what *actually* occurred in prior visits, represents an improvement over the more traditional means of measurement (e.g. ratings of satisfaction with physician), it still is subject to recall bias. Second, while we measured important confounders that we hypothesized would impact IPC, it is possible that our findings are a result of residual confounding. Cooper and Roter, in their review of the effects of race and ethnicity on patient–provider communication [5], note the potential importance of physician–patient racial and ethnic concordance and patient's health status. While we did not collect data regarding the race and ethnicity of physicians, and did not have a direct measure of the need or use of interpreter services, we did ask Spanish-speaking patients to report whether their physician spoke Spanish and included this in our multivariate models. Furthermore, we did not find any interactions between FHL, patients' language, and IPC reports. Because our prior work and the work of others [22,49] have demonstrated that patients with inadequate FHL have worse health status, and since prior studies have shown that health status affects physician communication and patient satisfaction [50,51], we attempted to include health status in our mod-

els. While we did not have a direct measure of patients' health status, we included three variables that are linked to overall health, particularly in patients with diabetes: medication regimen, metabolic control (HbA1c), and depressive symptoms (CESD-10) [35]. Third, while IPC is considered a quality of care measure in its own right [1,4], we have not yet examined the extent to which IPC may act as a mediator between FHL and other diabetes-related outcomes, including self-care, self-efficacy, metabolic control, and health services utilization. Because IPC may also directly affect these outcomes, answering this research question would require more sophisticated modeling and analytic techniques. Fourth, since our study involved patients cared for at public hospital clinics, our findings may not be generalizable to patients who receive their care in different settings. Finally, we may have underestimated the extent of communication problems among diabetes patients in our public hospital, insofar as our eligibility criteria and recruitment procedures excluded patients who were unaffiliated with or only inconsistently cared for by a primary care physician, as well as those who missed a clinic appointment with their physician during the study period.

## 5. Practice implications

Since type 2 diabetes disproportionately affects ethnic minorities, the elderly, and those of lower socioeconomic status [52], understanding the relationship between FHL and the quality of interpersonal processes of care may provide important insights for clinicians who care for such populations, and may have strategic implications for the reduction of racial, ethnic, and socioeconomic disparities in diabetes care called for in Healthy People 2010 [53]. To date, potential solutions to the problem of low FHL have focused on improving the readability of written documents or replacing printed materials with other forms of health communication [54,55]. Although these efforts, no doubt, will lead to helpful changes in the health care experience of patients with poor FHL, our study suggests that these patients are more likely to experience global communication problems in the health care context. While our study does not illuminate how clinicians can best adapt their communication style for patients with poor FHL, it does reveal problems that deserve attention and suggests avenues for fruitful inquiry. In the explanatory domain of communication, it appears that patients with inadequate FHL are more likely to be challenged by both the technicality and the speed with which information is transmitted by their physician. In the participatory domain of physician communication, it is evident that physicians are not uniformly ascertaining the extent to which their educational efforts lead to the intended consequences. Research is needed as to how to most effectively transmit complex health information to patients with poor FHL. To promote more interactive and dialogical communication [24,56], work is needed to examine ways

507 that physicians can elicit a patient's comprehension or per-  
508 ceptions and patients can safely voice their informational  
509 needs.

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